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JC975 U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 205,328

First Inventor Cheol-Woong LEE

METHOD OF PREVENTING REDUCTION OF SALES AMOUNT  
OF RECORDS DUE TO DIGITAL MUSIC FILE ILLEGALLY  
DISTRIBUTED THROUGH COMMUNICATION NETWORK

Express Mail Label No. EF174014733US

|   |  |   |  |
|---|--|---|--|
| <b>APPLICATION ELEMENTS</b>   |  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, DC 20231   |  |
| See MPEP chapter 600 concerning utility patent application contents.  |  |   |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><small>(Submit an original and a duplicate for fee processing)</small>   |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)  |  |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br><small>See 37 CFR 1.27.</small>   |  | 8. Nucleotide and/or Amino Acid Sequence Submission<br><small>(if applicable, all necessary)</small>  |  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 21]<br><small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> |  | a. <input type="checkbox"/> Computer Readable Form (CRF)<br>b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 9 ]  |  | c. <input type="checkbox"/> Statements verifying identity of above copies   |  |
| 5. Oath or Declaration [ Total Pages 2 ]  |  | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))  |  |
| a. <input checked="" type="checkbox"/> Newly executed (original or copy)<br>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br><small>(for continuation/divisional with Box 18 completed)</small>   |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of<br><small>(when there is an assignee)</small> Attorney   |  |
| i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br><small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>  |  | 11. <input type="checkbox"/> English Translation Document (if applicable)   |  |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |  | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS<br><small>Citations</small>   |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:   |  | 13. <input type="checkbox"/> Preliminary Amendment  |  |
| <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)   |  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><small>(Should be specifically itemized)</small>  |  |
| Prior application information: Examiner _____   |  | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br><small>(if foreign priority is claimed)</small>   |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  |  | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  |  |
| 17. <input type="checkbox"/> Other: _____   |  | 19. CORRESPONDENCE ADDRESS  |  |
| <input type="checkbox"/> Customer Number or Bar Code Label  |  | or <input checked="" type="checkbox"/> Correspondence address below   |  |
| <b>Name</b><br><small>ABELMAN FRAYNE &amp; SCHWAB</small><br><b>Attorneys at Law</b><br>150 East 42nd Street<br>New York, NY 10017  |  |   |  |
| <b>Address</b>  |  |   |  |
| <b>City</b>   |  | <b>State</b>  |  |
| <b>Country</b> U.S.A.   |  | <b>Zip Code</b>   |  |
| <b>Name (Print/Type)</b> Jay S. Cinnamon  |  | <b>Registration No. (Attorney/Agent)</b> 24,156   |  |
| <b>Signature</b> <i>Jay S. Cinnamon</i>   |  | <b>Date</b> Oct. 15, 2001   |  |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 410.00)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   |                  |
| Filing Date          | October 15, 2001 |
| First Named Inventor | Cheol-Woong LEE  |
| Examiner Name        |                  |
| Group Art Unit       |                  |
| Attorney Docket No.  | 205,328          |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
 Deposit Account Number **01-0035**  
 Deposit Account Name **ABELMAN, FRAYNE & SCHWAB**  
 Charge Any Additional Fee Required  
 Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status.  
 See 37 CFR 1.27
2.  Payment Enclosed:  
 Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description   | Fee Paid |
|-------------------------------|-------------------------------|---|----------|
| 105                           | 130                           | 205 65 Surcharge - late filing fee or oath  |          |
| 127                           | 50                            | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                           | 130                           | 139 130 Non-English specification   |          |
| 147                           | 2,520                         | 147 2,520 For filing a request for ex parte reexamination                         |          |
| 112                           | 920*                          | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                           | 1,840*                        | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                           | 110                           | 215 55 Extension for reply within first month                                     |          |
| 116                           | 390                           | 216 195 Extension for reply within second month                                   |          |
| 117                           | 890                           | 217 445 Extension for reply within third month                                    |          |
| 118                           | 1,390                         | 218 695 Extension for reply within fourth month                                   |          |
| 128                           | 1,890                         | 228 945 Extension for reply within fifth month                                    |          |
| 119                           | 310                           | 219 155 Notice of Appeal  |          |
| 120                           | 310                           | 220 155 Filing a brief in support of an appeal                                    |          |
| 121                           | 270                           | 221 135 Request for oral hearing  |          |
| 138                           | 1,510                         | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                           | 110                           | 240 55 Petition to revive - unavoidable   |          |
| 141                           | 1,240                         | 241 620 Petition to revive - unintentional  |          |
| 142                           | 1,240                         | 242 620 Utility issue fee (or reissue)  |          |
| 143                           | 440                           | 243 220 Design issue fee  |          |
| 144                           | 600                           | 244 300 Plant issue fee   |          |
| 122                           | 130                           | 122 130 Petitions to the Commissioner   |          |
| 123                           | 130                           | 123 130 Petitions related to provisional applications                             |          |
| 126                           | 180                           | 126 180 Submission of Information Disclosure Stmt                                 |          |
| 581                           | 40                            | 581 40 Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                           | 710                           | 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                           | 710                           | 249 355 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                           | 710                           | 279 355 Request for Continued Examination (RCE)                                   |          |
| 169                           | 900                           | 169 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) _____     |                               |   |          |

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity<br>Fee Code (\$)   | Small Entity<br>Fee Code (\$) | Fee Description               | Fee Paid      |
|---------------------------------|-------------------------------|-------------------------------|---------------|
| 101                             | 710                           | 201 355 Utility filing fee    | <b>370.00</b> |
| 106                             | 320                           | 206 160 Design filing fee     |               |
| 107                             | 490                           | 207 245 Plant filing fee      |               |
| 108                             | 710                           | 208 355 Reissue filing fee    |               |
| 114                             | 150                           | 214 75 Provisional filing fee |               |
| <b>SUBTOTAL (1) (\$ 370.00)</b> |                               |                               |               |

## 2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
|              |                    |                    | -20** =      | X              | =        |
|              |                    |                    | - 3** =      | X              | =        |
|              |                    |                    |              |                |          |

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  |
|-------------------------------|-------------------------------|--|
| 103                           | 18                            | 203 9 Claims in excess of 20                                     |
| 102                           | 80                            | 202 40 Independent claims in excess of 3                         |
| 104                           | 270                           | 204 135 Multiple dependent claim, if not paid                    |
| 109                           | 80                            | 209 40 ** Reissue independent claims over original patent        |
| 110                           | 18                            | 210 9 ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2) (\$ )</b>     |                               |  |

\*\* or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 40.00)**

## SUBMITTED BY

## Complete if applicable

|                   |                       |                                      |               |           |                |
|-------------------|-----------------------|--------------------------------------|---------------|-----------|----------------|
| Name (Print/Type) | Jay S. Cinamon        | Registration No.<br>(Attorney/Agent) | 24,156        | Telephone | (212) 949-0022 |
| Signature         | <i>Jay S. Cinamon</i> | Date                                 | Oct. 15, 2001 |           |                |

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